



HEARTS ACADEMY TRUST



# RECRUITMENT AND SELECTION POLICY FOR EMPLOYEES AND VOLUNTEERS

# HEARTS VALUES

## Redefining Possibilities

Our HEARTS values form the foundation of our culture of care, inclusion, mutual respect, and service to our communities which empowers all to flourish and make a difference.



### HAPPINESS

We promote **happiness** and good mental health & wellbeing by building relationships that have a strong, shared sense of purpose, where all are valued and belong. Our curriculum is rich in opportunities and experiences that ignite a love of learning.



### EQUITY

We are engines of social justice: we ensure everyone is treated with **equity** and help those who need it, overcoming barriers and battling against unfairness.



### ACHIEVEMENT

We facilitate and celebrate **achievement** within and beyond our schools, recognising the individuality of success, enabling all to fulfil their potential and prosper.



### RESILIENCE

We develop **resilience** through hard work and cultivating courage in the face of adversity. We foster independence of thought, a willingness to take risks, persevere and learn from mistakes, taking responsibility over our decisions.



### TRUTH

We model **truth**, integrity and authenticity: doing the *right* thing, because it is the right thing, even when it is difficult.



### SPIRITUALITY

We promote **spirituality** through empathy, reflection and appreciating diverse backgrounds and cultures. We endeavour to live life in all its fullness through a deepening sense of awe and curiosity, expanding connections with the wider world around us.

# Recruitment and Selection Policy for Employees and Volunteers

## Source of information and guidance:

[Deeply Christian, Serving the Common Good \(The Church of England Vision for Education\)](#)  
[Valuing All God's Children \(The Church of England Vision for Education\)](#)

## Timetable

Action	Date
Date reviewed	September 2025
Next date to be reviewed	September 2026

## **1 Introduction**

- 1.1 Recruiting the best people to our Trust is vital for our continued success in providing the highest standards of education to our pupils.
- 1.2 Not appointing the right people to our roles can have a negative impact on the performance of our Trust.
- 1.3 In carrying out our recruitment processes:
  - 1.3.1 the Head of School, in consultation with their Executive Headteacher is responsible for deciding on the arrangements to recruit to any post, with the exception of the Head of School role, where the CEO, Executive Headteacher and Trust Board will be responsible.
  - 1.3.2 the Trust is committed to the creation of a safe environment for our pupils by operating safer recruitment practices in line with the statutory requirements and guidance.
  - 1.3.3 The Trust will comply with the requirements of **Data Protection Legislation** (being (i) the General Data Protection Regulation ((EU) 2016/679) (unless and until the GDPR is no longer directly applicable in the UK) and any national implementing laws, regulations and secondary legislation, as amended or updated from time to time, in the UK and then (ii) any successor legislation to the GDPR or the Data Protection Act 1998, including the Data Protection Act 2018). Our Data Protection Policy sets out how we will comply with Data Protection Legislation.
  - 1.3.4 the Trust will comply with the requirements of the Equality Act (2010) and are committed to ensuring that throughout our recruitment and selection processes no applicant is disadvantaged or discriminated against because of the protected characteristics of age, disability, gender, gender re-assignment, marriage or civil partnership, pregnancy or maternity, race, religion or belief and sexual orientation.
- 1.4 In the very exceptional cases where we are required to discriminate due to an occupational requirement this must be approved by the Board of Trustees who will provide reasons for this requirement.
- 1.5 Recruitment will be solely on the basis of the applicant's abilities and individual merit as measured against the criteria for the job. Qualifications, knowledge, experience and skills will be assessed at the level that is relevant to the job.
  - a) We expect all Teachers to have an appropriate teaching qualification. If they do not have this prior to being employed it is a condition of employment that it will be gained within 12 months.
  - b) All staff employed as TAs/ HLTAs will have GCSE / English and maths. Heads of School have discretion to support TAs (only) to undertake a maths / English equivalency qualification.
  - c) All staff employed as a HLTA will be expected to have or gain a formal HLTA qualification within 18 months of successfully completing their probation period.
  - d) All TAs / HLTAs will be expected to work across any year group that the Head of School decides (from nursery to Year 6).
  - e) Staff employed as School Business Managers/ Strategic Business Managers will be required to complete the D-SBM or an equivalent within 2 years of successfully completing their probation period.
- 1.6 If an applicant makes the Trust aware, at any stage of the recruitment process, that they have a disability then reasonable adjustments must be considered to ensure the applicant is not disadvantaged by the process.
- 1.7 We are committed to inclusivity and accessibility.

## **2 Roles and responsibilities**

- 2.1 It is the responsibility of the Board of Trustees to:
  - ensure there are effective policies and procedures in place for the safe and effective recruitment of all staff and volunteers and for the engagement of other adults in accordance with statutory guidance and legal requirements;
  - monitor compliance with those policies and procedures;
  - elect members to sit on selection panels for the appointment of Heads of School, Senior Leaders and other appointments as required;
  - delegate approval authority to the CEO to approve the appointment of Head of School, Senior Leaders, and Teachers.

- 2.2 It is the responsibility of the Head of School, and other managers involved in recruitment to:
  - apply robust and effective recruitment and selection processes;
  - ensure that safer recruitment practices are in operation and that **all** appropriate checks are carried out on **all** staff, volunteers, third-party workers and others engaged to work to a satisfactory standard.
- 2.3 Delegated Authority
  - 2.3.1 The Board of Trustees has delegated authority to the Head of School to make all staff appointments outside of the leadership group.
  - 2.3.2 The Board of Trustees has delegated the appointment of senior staff to the Head of School.
  - 2.3.3 The Board of Trustees has delegated the appointment of support staff who are members of the Leadership Team to the Head of School.

The Board of Trustees may be involved in staff appointments below leadership level but the final decision will rest with the Head of School. The Head of School may delegate the selection process of staff outside of the leadership group to other managers, but remains responsible for the decision to appoint.

### **3 Scope and Purpose**

- 3.1 The purpose of this policy is to set out our processes for recruiting, selecting and appointing any employee to work within our Trust.
- 3.2 Sections 15 on Disclosure and Barring Service checks also applies to volunteers in our Trust.

### **4 Safer Recruitment**

- 4.1 All recruitment must be in line with this policy to ensure that we identify, deter and prevent people who pose a risk of harm from working with our pupils.
- 4.2 The recruitment of all applicants and volunteers to our Trust must, without exception, follow the processes of safer recruitment. All offers of employment will be subject to us being satisfied that the applicant or volunteer is a suitable person to work with children and young people.
- 4.3 Any person involved in recruiting to our Trust must read the "Keeping Children Safe in Education" guidance (or updated statutory guidance) produced by the DfE and our Trust's Child Protection and Employment Reference policies. These can be obtained from our website (<https://www.heartsacademytrust.co.uk/trust-information/policies>).
- 4.4 All recruitment must be planned to ensure that there is adequate time and resources available to recruit safely and in line with Trust policy and legislation.
- 4.5 Any person who becomes aware that this policy is not being followed during recruitment must inform the Head of School, Designated Safeguarding Lead and COO immediately.
- 4.6 All of the checks described in Sections 13 and 14 must be carried out and have been determined as satisfactory before an applicant can start their employment in the Trust.

### **5 The Recruitment Procedure**

Please see *Appendix 5* for a flow chart summarising the procedure. Further key details are provided below.

- 5.1 Identifying a vacancy

Before any action is initiated, careful consideration must be given to the necessity of filling the post, the tasks to be undertaken and the skills, attributes and behaviours required to do the job. Where necessary, amendments to the role and staffing structure will be approved by the Board of Trustees. CFO and CEO authorisation for all recruitment that deviates from agreed structures will be required by submitting *Appendix 3*.



## 5.2 Job Descriptions and Person Specifications

A job description will be required for all posts which describes the duties and responsibilities of the post. It must be up to date, accurate and specific to the role. **If the role includes DSL/DDSL or DPO/DDPO responsibilities, this will be stated on the job description.** The job description must also include a person specification which outlines all of the necessary skills, abilities, experience, attitude, behaviours, qualifications and knowledge requirements for the post.

All job descriptions and person specifications will refer to the responsibility for safeguarding and promoting the welfare of children.

Under Part 7 of the Immigration Act 2016, the Public Sector fluency duty requires state funded schools to ensure candidates for their customer facing roles have the necessary standard of spoken English (or English or Welsh in Wales). For example, a Teaching Assistant required to communicate with pupils to support their learning, would be viewed as operating in a public-facing role.

The salary for the job will be evaluated at this point and the actual salary range will be advertised.

## 5.3 Setting timescales

The length of the recruitment process will vary depending on the type of vacancy, whether it is necessary to advertise both internally and externally and the length of the required notice periods.

## 5.4 Recruitment pack

The recruitment pack will vary according to the post but will consist, as a minimum, of:

- Job description
- Person specification
- Information about the post, including responsibilities, hours and salary
- The Recruitment and Selection Policy statement – please see appendix 2

## 5.5 Visits

Informal discussions and visits prior to application are welcomed by prior arrangement.

# 6 Advertising

6.1 Any vacant position will be advertised via MyNewTerm and associated platforms, including Essex Schools Jobs to ensure we attract the highest calibre of applicants. This may also include local/national media, social media, parent newsletters and websites. Internal-only advertisements may be considered where clear career development and progression paths are identified, this method will be used if there is a reasonable expectation that sufficient applicants can be shortlisted, or if the position is for additional responsibilities or staff are at risk of redundancy.

6.2 All advertisements will have the following statement about safeguarding children and young people and the requirement to have an enhanced DBS check, which includes a children's barred list check: 'HEARTS Academy Trust is committed to safeguarding and promoting the welfare of children and expects all staff and volunteers to share this commitment. All offers of employment are subject to the receipt of a satisfactory application form, satisfactory references that meet the requirements as set out in KCSIE, satisfactory online search and an Enhanced DBS check, to include a children's barred list check, and where applicable, a prohibition from teaching check will be completed for all applicants.'

6.3 All advertisements will also include the following statement if the post is exempt from the Rehabilitation of Offenders Act 1974 and the amendments to the Exceptions Order 1975, 2013 and 2020:

'This post is exempt from the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 (2013 and 2020). This means that certain convictions and cautions are considered 'protected' and do not need to be disclosed to employers, and if they are disclosed, employers cannot take them into account. Guidance about whether a conviction or caution should be disclosed can be found on the Ministry of Justice website:

- 6.4 All applicants can view a copy of our Records Retention Policy on the Trust website, the policy sets out how we will gather, process and hold personal data of individuals during and after the recruitment process.

## **7 Application form**

All applicants are required to complete the KCSIE compliant application form via our recruitment portal MyNewTerm. Alternative application formats will be accepted from disabled applicants or those that do not have internet access, but such applicants must provide all of the information required as detailed in MyNewTerm application form. Curriculum vitae's will not be accepted.

All parts of the MyNewTerm application must be completed in full and electronically signed by the candidate. MyNewTerm will not accept incomplete application forms and will instruct the applicant to complete all parts prior to submission. Where an applicant is shortlisted, any discrepancies or gaps in employment will be discussed at the interview.

Applicants should be aware that providing false information is an offence and could result in the application being rejected or, in summary dismissal if the applicant has been selected.

The short-listing panel are responsible for scrutinising the application forms and identifying any gaps in employment or other areas that may affect an applicant's suitability to work with children and young people. A satisfactory explanation for any concerns, including any concerns as a result of online searches must be obtained from the applicant during the interview process if possible, or prior to the issuing of a conditional offer.

The equal opportunities monitoring form will be removed from the application and not provided to the short-listing panel.

Internal applicants should complete their application via MyNewTerm.

It is an offence to apply for a role if the individual is barred from engaging in regulated activity relevant to children.

## **8 References**

- 8.1 All offers of employment will be conditional upon receipt of at least two satisfactory written references. References will:
- 8.1.1 be requested for all shortlisted applicants, including internal applicants; these requests will be made through MyNewTerm. (see appendix 6 for an example of the reference request).
  - 8.1.2 include the applicant's current or most recent employer. References are to be completed by a senior person with appropriate authority (if the referee is school or college-based, the reference should be confirmed by the Headteacher or Principal as accurate in respect to disciplinary investigations) and where an applicant for a teaching post is not currently employed as a Teacher, will include the applicant's most recent employer as a Teacher;
  - 8.1.3 ask the current employer for details of any capability history in the previous two years, and the reasons for this;
  - 8.1.4 not be accepted if they are 'to whom it may concern' letters;
  - 8.1.5 request information on the applicant's suitability to work with children and young people from the last employer where the applicant worked with children (if not currently working with children);
  - 8.1.6 be verified with the person who provided the reference and, where the reference is provided electronically, verify that it is from a legitimate source;
  - 8.1.7 be clarified with the referee where the information is vague or insufficient;
  - 8.1.8 establish the reason for the candidate leaving their current or most recent post;
  - 8.1.9 be compared with the information set out in the application form and any discrepancies discussed with the candidate;
  - 8.1.10 be requested before the interview; and

- 8.1.11 be explored further with the referee and with the applicant during the interview if necessary.
- 8.2 Where it has not been possible to obtain references before the interview any concerns that are subsequently raised will need to be resolved before the appointment is confirmed.
- 8.3 In order to comply with the Equality Act 2010, information relating to sickness absence will only be requested after a conditional offer of employment has been made.
- 8.4 For internal candidates currently filed references will be checked and a decision made by the panel on whether it is appropriate to obtain further references. A further reference could be from a line manager or the Head of School as appropriate.
- 9 Shortlisting/Reviewing Applications**
- 9.1 All applications are anonymised before being shared with shortlisting panels.
- 9.2 Applicants will be reviewed and shortlisted against the requirements of the job description and person specification. The same people should carry out the shortlisting and the interviews and this should be at least two people. The outcome of the short-listing process will be recorded and retained.
- 9.3 The shortlisting panel are responsible for scrutinising the application forms and identifying any gaps in employment or other areas that may affect an applicant's suitability to work with children and young people. A satisfactory explanation for any concerns, including any concerns as a result of online searches must be obtained from the applicant during the interview process / prior to any offer of employment, and discussed with the applicant.
- 9.4 Applications received through MyNewTerm will be notified by email of their application status during the recruitment process.
- 9.5 Shortlisting will sometimes take place before the closing date, when large numbers of satisfactory applications are received or at times of high demand for teaching staff. This will be noted on the advertisement.
- 9.6 As part of the Trusts commitment to safeguarding children and to further enhance the shortlisting process, in line with measures identified in statutory guidance relating to safeguarding practice, the Trust has partnered with "Social Media Check" to undertake online searches via a candidate self-registration portal. These checks are designed to identify anything that could give rise to a safeguarding concern in what has been said or done online.
- 9.7 Management of online searches and the collation of information will be carried out independently from those managing the shortlisting process. Only information relevant to safeguarding concerns obtained from the search will be passed over for consideration during the recruitment process for use during the shortlisting process and prior to the issuing of a contract of employment.
- 10 Interviews**
- 10.1 Candidates who are selected for interview will be notified as soon as possible via MyNewTerm. Invitation to interview will be confirmed in writing via the MyNewTerm portal. Adequate time will be allowed between invitation and interview to allow for pre-employment checks to take place and to allow candidates adequate time to prepare for their interview.
- 10.2 A face-to-face interview must take place for all applicants to all posts. The use of video conferencing, Skype, Facetime or other similar technologies is acceptable for this purpose in exceptional circumstances only with prior agreement with the Executive Headteacher and CEO.
- 10.3 All those involved in interviewing must be properly prepared to undertake the role, which may involve appropriate interview training. At least one person on the interview panel must have passed the appropriate safer recruitment training. Interviews should take place on the same day.



- 10.4 The purpose of the interview is to assess the merits of each applicant against the job description and person specification, to establish their suitability for the post and to work with children and young people.
- 10.5 Interviews should be conducted with a minimum of two interviewers on the panel, ideally with an equal gender balance, to enable one interviewer to assess the applicant, observe and make notes, whilst the applicant talks to the other interviewer.
- 10.6 Before the interview commences the interview, the panel should have:
- 10.6.1 prepared appropriate questions for the role;
  - 10.6.2 prepared appropriate questions to test the applicant's suitability to work with children and young people;
  - 10.6.3 identified any areas for further probing, e.g., if a criminal record has been declared, any information about past disciplinary action/allegations, or if there are gaps in employment etc;
  - 10.6.4 agreed assessment criteria which reflects the person specification; and
  - 10.6.5 decided a structure to the interview and established which member of the panel will ask which questions.
- 10.7 A set of common questions relating to the requirements of the post will be asked of each applicant. Their response will determine whether that is followed up through further questioning.
- 10.8 Any gaps in employment history must be explored during the interview process.
- 10.9 Candidates shortlisted for interview will be asked about their suitability to work with children. Areas that may be concerning and lead to further questions include:
- implication that adults and children are equal;
  - lack of recognition and/or understanding of the vulnerability of children;
  - inappropriate idealisation of children;
  - inadequate understanding of appropriate boundaries between adults and children; and
  - indicators of negative safeguarding behaviours;
- 10.10 Shortlisted candidates will be required to complete a self-declaration via MyNewTerm of their criminal record or information that would make them unsuitable to work with children. Applicants will only be asked to disclose and discuss criminal convictions and/or cautions which are not protected under the amendments to the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 (2013 and 2020) that may deem them unsuitable. Where this is provided electronically, the shortlisted candidate will be asked to physically sign a hard copy at the point of the interview.
- 10.11 Screening applicants using social media:
- The school will notify shortlisted applicants that it intends to screen candidates prior to any conditional offer by checking social media sites. The purpose of such screening will be to ascertain whether a candidate demonstrates appropriate conduct, behaviour and suitability for employment in a school environment. Any such screening will not be used in isolation and will be discussed with candidates at interview where necessary.

## **11 Other selection methods**

- 11.1 In addition to a face-to-face interview with the interview panel a variety of other selection methods may be used, such as:
- 11.1.1 Observation of teaching practice in our Trust or in the applicant's current school or academy;
  - 11.1.2 One or more additional panel interviews (for example, a panel made up of pupils from our academy;
  - 11.1.3 A presentation;
  - 11.1.4 In-tray exercises; and
  - 11.1.5 Psychometric testing.

- 11.2 Those responsible for deciding the arrangements for recruitment to a specific post will determine the selection method(s). They will be relevant and appropriate to the role and will be based on the requirements for the particular post as set out in the job description and person specification.
- 11.3 Candidates will be informed in advance if any selection methods are to be used in addition to a face-to-face interview and what these are.
- 11.4 Every effort will be made to accommodate any special requirements necessary to enable candidates to participate in the process, including reasonable and practical adjustments.

## **12 Level of language proficiency**

- 12.1 Under the "fluency duty" (Part 7 of the Immigration Act 2016), public authorities are required to ensure that workers in public-facing roles are fluent in English (or Welsh in Wales). Public-facing roles are those members of teaching and support staff who, as a regular and intrinsic part of their role, are required to speak to members of the public (including students in schools).
- 12.2 The Trust will accept a range of evidence of spoken English or Welsh language ability as follows:
- competently answering interview questions in English or Welsh;
  - possessing a relevant qualification for the role attained as part of education in the UK or fully taught in English or Welsh by a recognised institution abroad;
  - passing an English or Welsh spoken language competency test or possessing a relevant spoken English or Welsh qualification at CEFR Level B1 or above, taught in English or Welsh by a recognised institution abroad (and from September 2017 this includes Welsh second language GCSE).

## **13 Employment offers**

- 13.1 The choice of candidate will be determined by the majority view of the interview panel – this will be a points-based system based on answers provided to the interview questions. The panel may identify a first choice and any reserve choice candidate(s).
- 13.2 The successful applicant will be advised that they are the preferred candidate and a conditional offer made, subject to the following conditions and in line with section 14 pre-employment checks of this policy:
- a. satisfactory pre-employment checks;
  - b. a minimum of two references as detailed above under "references";
  - c. an enhanced DBS including children barred list check;
  - d. online search;
  - e. childcare disqualification disclosure; and
  - f. fitness to work declaration.

Applicants will be made aware that their application form will form part of their contract, therefore it is imperative that all information contained within applications forms is factual and accurate. Any inaccuracies may lead to an offer of employment being withdrawn.

Applicants are advised not to resign from their current job until they have received confirmation that all conditions have been satisfied.

A firm offer cannot be made until all pre-employment checks have been completed. Appendix 4 – DBS requirements.

- 13.3 Once all pre-employment checks have been satisfactorily received, a firm offer of employment will be made and the contract of employment (or a contract variation/amendment as applicable) will be issued. The contract/contract variation/amendment will be issued no later than the first date of employment.
- 13.4 If the preferred candidate does not accept the post, the panel will consider the option of contacting any reserve candidate(s). This will only be done where these candidates meet the criteria for the role.

- 13.5 Unsuccessful interviewed candidates will be advised accordingly. All candidates can request feedback on their interview/selection. This feedback must be given by the shortlisting/interview panel.

#### **14 Pre-employment checks**

- 14.1 An offer of appointment to the successful applicant will be conditional until satisfactory completion of the mandatory pre-employment checks which will include the following:
- 14.1.1 receipt of at least two satisfactory written references (one of which must be their current or most recent employer). Open references will not be accepted;
  - 14.1.2 verification of the candidate's identity, in line with current legislation, preferably from current photographic ID and proof of address;
  - 14.1.3 verification of the candidate's mental and physical fitness to carry out their work responsibilities;
  - 14.1.4 verification of qualifications where relevant;
  - 14.1.5 verification of professional status where applicable. For Teachers, this will include checking that the individual has the required teaching qualification and has successfully completed any statutory induction and is not subject to a prohibition order issued by the Secretary of State if required, via the DfE's "Check a teacher's record" service. The service is free to use and is available via the DfE's [web page](#). Users will require a DfE Sign-in account to log onto the service (see 14.3 below);
  - 14.1.6 an SD2 form is to be completed and returned, once a satisfactory enhanced DBS check is received (see Section 15), the SD2 form will be securely destroyed. (see appendix 8);
  - 14.1.7 satisfactory online searches. If any "findings" are reported, these will be investigated during a meeting with the Head of School prior to the issuing of a contract of employment. The report will be annotated and added to the personnel file in a signed and sealed envelope;
  - 14.1.8 for management positions (applicable to Trustees, Head of School, members of the Senior Leadership Team, departmental Heads and staff with delegated financial authority only), verification that they are not subject to a section 128 direction by checking [GOV.UK](#);
  - 14.1.9 for Teachers and other employees who hold QTS who are working in non-teaching roles, verification that they are not subject to a prohibition order by checking the Teacher Services System;
  - 14.1.10 for Teachers, satisfactory check to determine any restrictions/sanctions that have been imposed in other EEA member states, through the provision of a letter of professional standing from the professional regulating authority in the country that they qualified.<sup>1</sup>
  - 14.1.11 a clear children's barred list check (except supervised volunteers) if working in regulated activity;
  - 14.1.12 verification of right to work in the United Kingdom, including EU Nationals; please refer to the Home Office website for up-to-date information <https://www.gov.uk/check-job-applicant-right-to-work>
  - 14.1.13 any further checks where the applicant has lived or worked outside of the UK including receipt of criminal record information from overseas;
  - 14.1.14 confirmation that the applicant is not disqualified from providing childcare and is not disqualified from working in these settings under the 2018 Childcare Disqualification Regulations. Please refer to appendix 1 MyNewTerm Criminal Convictions
- 14.2 All checks must be confirmed in writing, retained on the personnel file and recorded in the Single Central Record (SCR) and in accordance with the Trust Retention Schedule.
- 14.3 DfE's "Check a teacher's record" - This is a free service available for employers and potential employers to check a teacher's record before they start employment with the Trust. The service allows the user to check the records of an individual who has a teacher reference number (TRN), including:
- Teachers and former teachers; and
  - Teaching assistants and higher-level teaching assistants.

You can use this service to check the records of multiple teachers at once.

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<sup>1</sup> EEA regulator restrictions do not prevent an individual from taking up teaching positions in England, however, employers should consider the circumstances leading to the restriction when assessing a candidate's suitability to be employed.

A teacher's record tells you whether they have:

- qualified teacher status (QTS), qualified teacher learning and skills (QTLS) status, or early years teacher status (EYTS);
- passed their induction;
- national professional qualifications (NPQ) or mandatory qualifications for teaching pupils with sensory impairments;
- been prohibited from teaching by the Teaching Regulation Agency;
- had sanctions or restrictions placed on them by the General Teaching Council for England (GTCE); and
- been found guilty of serious misconduct but not prohibited from teaching.

### Before you start

To check the record of a single teacher, you'll need:

- the teacher's last name and date of birth (you do not need to know their TRN)
- to [confirm the teacher's identity](#)
- a [DfE Sign-in account](#)

To check the records of multiple teachers at once, you will need:

- all of their dates of birth
- all of their TRNs
- a [DfE Sign-in account](#)

Further information about obtaining a DfE Sign-in account and using Check a teacher's record to carry out a range of 'teacher status checks' including verification of qualified teacher status (QTS) and the completion of teacher induction or teacher probation can be found on GOV.UK.

**14.4 QTLS status for Further Education Teachers.** Further Education Teachers who have been awarded QTLS by the Society for Education & Training (SET) and are members of the SET will be recognised as qualified Teachers in schools and academies. There is no need to carry out a check on these teachers via the NCTL Teacher Services System as there is no need for them to apply to the NCTL. A certificate from the SET is sufficient evidence. The Society of Education & Training holds and maintains the national register of all QTLS holders, including those teaching in schools. Schools and academies remain responsible for decisions on employing QTLS holders and should check with the SET that a Teacher has QTLS status before they are offered a qualified Teacher position.

### 15 Disclosure and Barring Service (DBS) checks - new employees and volunteers

**15.1** The Trust will carry out a risk assessment to determine if a DBS check is required for each volunteer in accordance with Keeping Children Safe in Education. If a volunteer is assessed as requiring a DBS check, the following DBS checks will be undertaken for new appointments, before the employee or volunteer starts work:

Who?	Definition	Type of check
Employees who will be engaging in regulated activity	As an educational institution which is exclusively or mainly for the provision of full-time education to children, HEARTS Academy Trust is an establishment specified in the relevant legislation. Activity carried out in this establishment will therefore be regulated activity relating to children if it meets the definition in the relevant legislation, including that it is carried out:  Frequently by the same person; or	An enhanced DBS check with children's barred list check will be obtained

	<p>On more than three days in any period of 30 days.</p> <p>Note – personal care of a child because of age, illness or disability including physical help with eating, toileting, washing, bathing or dressing is always regulated activity regardless of how frequently it is carried out.</p>	
Unsupervised volunteers	As above	<p>An enhanced DBS check with children's barred list check will be obtained. Those applying for Chair of Trustee posts (after 01.04.17) must also have their identity verified for a stipulated professional as part of their DBS check as per the below link:</p> <p><a href="https://www.gov.uk/government/publications/academy-trust-chair-suitability-checks">https://www.gov.uk/government/publications/academy-trust-chair-suitability-checks</a></p>
Supervised volunteers	<p>Where an individual is a volunteer (e.g., carrying out activity that is unpaid) they will not be engaging in regulated activity if:</p> <p>They are being supervised by someone that is in regulated activity; and</p> <p>The supervision is regular and day to day (e.g., it is ongoing); and</p> <p>The supervision is reasonable in all the circumstances to ensure the protection of children (this may take into account for example, the age (including the variation in ages), number and vulnerability of children the individual is working with, the nature of the work and opportunity for contact with children, whether other individuals are helping to look after them and how many workers a supervisor is supervising).</p>	<p>We are unable by law to obtain a barred list check on a supervised volunteer. We will however obtain an enhanced DBS check (with no barred list check) for supervised volunteers.</p>

- 15.2 In exceptional circumstances a new employee or unsupervised volunteer may be able to start before the enhanced DBS check has been received, but not before the children's barred list check has been completed. The Trust must ensure that appropriate supervision and a risk assessment is in place until the DBS check has been received. The risk assessment should be reviewed and updated weekly and in place for a maximum of 3 weeks.
- 15.3 DBS certificates will only be issued to the applicant. All applicants must produce the original disclosure certificate when requested to do so, and within five days of receiving the certificate. The disclosure will be scrutinised to ensure it is authentic and to detect any fraud. The DBS disclosure number and date of the check must be recorded in the Single Central Record (SCR). We are not required to take a copy of your DBS certificate; however, we may choose to do so for decision-making purposes. Any copy will be held for no



longer than necessary, and up to a period of six months and be processed in line with Data Protection Legislation.

- 15.4 Any applicant who refuses to produce their DBS disclosure will not be able to start work at the Trust and the conditional offer will be withdrawn as satisfactory checks are not in place. Any volunteer who refuses to produce their disclosure will not be able to volunteer in the Trust OR any of our academies.
- 15.5 Applicants (free for volunteers) can have their DBS certificate kept up to date and take it with them from role to role where the same type and level of check is required. Applicants or volunteers should be asked if they have subscribed to this service. The cost of this service is £16 per year. The expectation is that individuals personally fund this if required. Where the applicant or volunteer has subscribed, they should provide the Trust with the original disclosure document to be verified and the Trust will check the online update for any changes.
- 15.6 Before using the Update Service, HEARTS must: (paragraph 257 KCSIE)
- 15.6.1 obtain consent from the individual to carry an online check to view the status of an existing enhanced DBS check;
  - 15.6.2 confirm the DBS certificate matches the individual's identity;
  - 15.6.3 examine the original certificate to ensure that it is valid for employment with the children's workforce; and
  - 15.6.4 ensure that the level of the check is appropriate to the job they are applying for e.g., enhanced check including with barred list information.
- 15.7 Applicants will only be asked to declare convictions and cautions that are not protected under the amendments to the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 (2013 and 2020).
- 15.8 Information relating to an individual's criminal record will only be shared with the relevant people to enable the Trust to make a decision about their suitability to work with children and young people.
- 16 Disclosure and Barring Service (DBS) checks - existing employees and volunteers**
- 16.1 An enhanced DBS check and a children's barred list check will be carried out for all existing staff and unsupervised volunteers (subject to risk assessment) where their contact with children or young people has increased from that at their time of appointment.
- 16.2 An enhanced DBS and children's barred list check may be carried out on any employee or unsupervised volunteer (subject to risk assessment) where the Trust has concerns about an individual's suitability to work with children and young people. An enhanced DBS (no barred list check) may be carried out on any supervised volunteer (subject to risk assessment) where the Trust has concerns about their suitability to work with children and young people.
- 16.3 DBS certificates will only be issued to the applicant. The Trust expects all applicants to produce the disclosure when requested to do so and within five days of receipt of the certificate. Any existing employee who does not produce their DBS disclosure will be managed through the disciplinary procedure.
- 16.4 All existing employees are required to inform the Trust of any change in their criminal record. This includes convictions, cautions, arrests and police investigations which are not protected under the amendments to the [Rehabilitation of Offenders Act 1974 \(Exceptions\) Order 1975](#) (2013 and 2020). The Trust may require all employees to sign a declaration on an annual basis that there has been no change in their criminal record. Action may be taken as a result of any change or any failure to inform the Trust of any change.
- 17 Induction and Probation**
- 17.1 All new employees, internally promoted staff and volunteers will be provided with an induction program, which will seek to ensure that they are clear about their job role, the expectations of them in terms of standards of performance and conduct and applicable policies and procedures. Any training and development needs will be identified and supported appropriately.

All staff will be subject to the statutory induction period.

- 17.2 A probationary period applies to all newly appointed staff. As an Academy, a new employee is an employee who is new to the employment of that Trust.  
See the Probation Procedure for more detail (<https://www.heartsacademytrust.co.uk/policies>).

## **18 Contractors/third party DBS checks**

- 18.1 All contractors or third parties working in schools will need to provide a Letter of Assurance confirming they follow safer recruitment processes, carry out an Enhanced DBS check including children's barred list checks and believe all staff coming in to work on Trust premises are suitable to work in a school. DBS numbers should be recorded at the bottom of the letters of assurance. Letters and DBSs should be reviewed annually by Business Managers and filed in either regulated activity (yellow) or unregulated activity (green) files. Details of any contractors/third parties that are not in regulated activity should **not** be entered on to the SCR and should always be supervised when on school premises when children are onsite.
- 18.2 No contractor/third party or visitor will be permitted access to schools without submitting their DBS certificate or update information. DBS information should be provided 24 hours before accessing the school site. Contractors in regulated activity have to provide evidence of a DBS/update service, and their details added to the SCR. Contractors not in regulated activity have to provide evidence of a DBS/update service but these details do not go on the SCR. All third parties need to provide evidence of DBS, only those in regulated activity need to have these details added to the SCR.
- 18.3 Where urgent, unplanned premises work is required, DBS information should be requested and if it is unavailable caretakers **must** accompany contractors/third parties throughout the visit. The Trust Facilities Manager will accompany contractors during tendering visits for **Trust** contracts.
- 18.4 All contractors/third parties and visitors to read the school safeguarding leaflet

## **19 Engaging volunteers**

- 19.1 The school values the contribution that volunteers make to its community and recognises the positive impact they can have on pupils' learning, experiences and wellbeing. Volunteers are seen by children as safe and trustworthy adults and the same high standards of safer recruitment principles and processes are applied to volunteers as are applied to employed staff.
- 19.2 Interviewing Volunteers  
Volunteers will be asked to have a discussion with the Head of School or another manager prior to commencing their role. This will not be a formal interview but will provide the school with:
- an opportunity to explain the workings of the school and how volunteers fit into that;
  - time to ask volunteers for the factual information needed to make a successful placement including any safeguard checks;
  - the opportunity to explore their suitability to work with children, including their motivation to work with children and their ability to form and maintain appropriate relationships and personal boundaries;
  - an opportunity to determine whether any special health, safety and welfare criteria need to be met.
- The volunteer will have :
- the opportunity to find out more about the nature of the work;
  - seek information to decide how best they can make a contribution, using their skills and experience;
  - ascertain how much time they want to commit?
- 19.3 Application Forms  
Volunteers will be asked to complete a basic application form. This provides the information necessary to undertake safeguard checks and to give a picture of the skills and experience the volunteers brings to maximise their contribution and the volunteer's own fulfilment in the assigned activities.
- 19.4 Role profiles

Volunteers will be given clear and simple description of the roles and boundaries of the voluntary activity. It is acknowledged that by its very nature, volunteering does not place the same obligations on an individual in terms of attendance etc. as a paid employee. However, it is very much hoped that volunteers will fulfil their commitment as agreed between themselves and the school- this includes consistent attendance and undertaking the agreed tasks. Volunteers will be asked to sign a voluntary agreement as clarification of the commitment they are making to the school and vice versa.

19.5 DBS checks – see section 15

## **20 Breaches of the policy**

20.3 Any instances of this policy not being adhered to will be taken very seriously and appropriate disciplinary action will be taken.

20.4 Any complaint in relation to this policy, including its application will be managed through the Trust's complaints policy or grievance policy (for existing employees).

## **21 Record keeping and data protection**

All written records of interviews, application forms and reasons for appointment or non-appointment will be kept by the Trust in line with our Records Retention Policy and in line with the requirements of Data Protection Legislation.

## **22 Review of policy**

This policy is reviewed by the Trust in consultation with the recognised trade unions and legislative changes. We will monitor the application and outcomes of this policy to ensure it is working effectively.

**As part of our continued commitment to safeguarding children, we require all adults who work for or with HEARTS Academy Trust, to provide accurate and up-to-date information as and when required. This may include, but is not limited to, DBS application; change of address details; notification of change of circumstances that may impact your ability to fulfil contractual duties etc. Failure to do so may result in disciplinary action being taken and or costs being passed on to you via our payroll system.**

## **Appendix 1 - MyNewTerm Declartion**



Invite to Interview Checklist

CANDIDATE REFERENCE: EDV/2024/PA/08366-001

PRESCHOOL ABC

Full Name: Ms. Samantha Bloggs  
Previous Surnames: Johns  
Preferred Names: Sam  
Job Title: Headteacher  
Employer Name: Preschool ABC (MNT Trust)  
Applied Date: 8th July, 2024  
Closing Date: 22nd August, 2024  
Interview Date: 24th August, 2024 (09:00 - 12:00)

S/N	ACTION	YES	NO
1	<b>Disclosure of Criminal Convictions</b> I confirm that I have completed and enclosed the attached Criminal Convictions Disclosure Form.	<input checked="" type="checkbox"/>	
2	<b>Disclosure of Police Contact</b> Are you known to the police and children's local authority social care? As you have answered yes, please state the date and details of how/why you are known to the police and local authority and contact details of any officers relating to the matter. Example text	<input checked="" type="checkbox"/>	
3	<b>Disclosure of Child Protection Investigation</b> Have you been subject to any child protection investigation? Please see attached additional form with details.	<input checked="" type="checkbox"/>	
4	<b>Disclosure of Relationships</b> Do you have any relationship with a pupil, employee, governor or trustee? Example text	<input checked="" type="checkbox"/>	
5	<b>Documents Provided to Evidence Right to Work</b> I confirm that I will provide evidence of my right to work in the UK. Please see the enclosed <a href="#">Home Office Right to Work Checklist</a> .	<input checked="" type="checkbox"/>	



S/N	ACTION	YES	NO
6	<b>Documentation to Process DBS Check</b> I confirm that if appointed I will provide the appropriate documents to allow a DBS check to be undertaken. Please see the enclosed <a href="#">DBS ID checking guidelines</a> .	<input checked="" type="checkbox"/>	
7	<b>Qualification Certificates Provided</b> I confirm that, I will provide the original copies of qualifications which I have declared as part of my application and which are an essential requirement for the role.	<input checked="" type="checkbox"/>	
8	<b>Prohibition Order (for teaching work only as defined by <a href="#">The Teachers' Disciplinary (England) Regulations 2012</a>)</b> I confirm that I am not prohibited from carrying out teaching work.	<input checked="" type="checkbox"/>	
9	<b>Section 128 Direction (for applicable position)</b> I can confirm that I am not subject to a section 128 direction in an independent school, academy or free school.	<input checked="" type="checkbox"/>	

*Example signature*

EXAMPLE CANDIDATE

13TH SEPTEMBER, 2024

SIGNATURE

FULL NAME

DATE



## Criminal Convictions Disclosure Form

CANDIDATE REFERENCE: EDV/2024/PA/08366-001

### PRESCHOOL ABC

#### Data Protection Notice

All the details provided by you as part of this request will be collected and processed by MNT Trust in accordance with their Privacy Policy which you are encouraged to read.

If you are appointed, this form may be retained on your personnel file for the duration of your employment. If you are not appointed this form will be securely destroyed after six months. You will find more information about how MNT Trust uses your personal information in their privacy notice for job applicants.

#### Private & Confidential

Please read the information below before completing the form. Once completed and submitted electronically, this form will be viewed/downloaded by Preschool ABC (MNT Trust) in readiness for your interview.

The MNT Trust policy requires all applicants for employment to disclose any previous unspent criminal convictions and any cautions which have not expired, or any pending prosecutions. In addition, the job you are applying for is exempt from the provisions of the Rehabilitation of Offenders Act 1974 (exceptions order 1975) which requires you to disclose all spent convictions and cautions except those which are 'protected' under Police Act 1997 - Part V and the amendments to the Exceptions Order 1975 (2013 and 2020) and are not subject to disclosure to employers on DBS certificates and cannot be taken into account. Guidance on the filtering of 'protect' cautions and convictions which do not need to be disclosed by a job applicant can be found at [DBS filtering guide - GOV.UK \(www.gov.uk\)](#).

The information you give will be treated as strictly confidential. Disclosure of a conviction or caution will not automatically disqualify you from consideration. Any offence will only be taken into consideration if it is one which would make you unsuitable for the type of work you are applying for. However, offences relating to children are likely to make you unsuitable since this is a 'regulated position' under the Criminal Justice & Courts Services Act 2000. The School's policy on the recruitment of ex-offenders is available on request.

Failure to disclose any relevant offences or give false information will disqualify any offer of employment, or result in summary dismissal if you are in post, with possible referral to the police. Confirmation of appointment is subject to a satisfactory Enhanced DBS Certificate.

## 1. SPENT AND UNSPENT CONVICTIONS OR CAUTIONS

Excluding youth cautions, reprimands or warnings except those 'protected' as defined by the Ministry of Justice (for further details please see - [Guidance on the Rehabilitation of Offenders Act 1974 and the Exceptions Order 1975 - GOV.UK \(www.gov.uk\)](#)).

Do you have any spent or unspent convictions or cautions in any country? Yes

S/N	Offence	Date	Court	Sentence / Penalty
1	Example text	1st January, 2024	Example text	Example text

2. PENDING PROSECUTIONS

Do you have any pending prosecutions in any country?Yes

S/N	Alleged Offence	Appearance Date	Court
1	Example text	Example text	Example text

3. OVERSEAS INFORMATION

Do you have any relevant overseas information that would make you unsuitable to work with children?Yes  
Example text

4. DISQUALIFICATION

It is a legal requirement for Schools and Academies to ensure that the individuals they employ are not disqualified from working with children who have not yet reached the age of 8. This applies to those working in Early and Later Years settings or where their employment will involve provision for children under the age of 8. By signing this form you confirm that you are not disqualified from working within specified childcare settings under the 2018 Childcare Disqualification Regulations. If you are appointed you will be required to immediately inform the Headteacher if you become disqualified.

Example Signature

EXAMPLE CANDIDATE

13TH SEPTEMBER, 2024

SIGNATURE

FULL NAME

DATE

5. PROHIBITION ORDER (FOR TEACHING WORK ONLY AS DEFINED BY THE TEACHERS' DISCIPLINARY (ENGLAND) REGULATIONS 2012)

Is the Headteacher vacancy at Preschool ABC a role carrying out teaching work or leadership post?es

I confirm that I am not subject to a Prohibition Order or Interim Prohibition Order in line with the School Staffing (England) (Amendment) Regulations 2013.

Example Signature

EXAMPLE CANDIDATE

13TH SEPTEMBER, 2024

SIGNATURE

FULL NAME

DATE

6. SECTION 128 DIRECTION (FOR APPLICABLE POSITION)

Is the Headteacher vacancy at Preschool ABC a management position in an independent school, academy, or in a free school? Yes

Section 128 (if a management position e.g. Headteacher, teaching positions of the Senior Leadership team, or any teaching positions which carry a department headship.) I can confirm that I am not prohibited or restricted from participating in the management of Schools in line with under s.128 of the Education and Skills Act 2008.

<i>Example Signature</i>	EXAMPLE CANDIDATE	13TH SEPTEMBER, 2024
SIGNATURE	FULL NAME	DATE

7. CONFIRMATION



I certify that I have read and understood this form and to the best of my knowledge the information I have entered is true and complete. I understand that if I have failed to disclose or given false information then it will disqualify any offer of employment, or result in summary dismissal if I am in post, with possible referral to the police.

<i>Example Signature</i>	EXAMPLE CANDIDATE	13TH SEPTEMBER, 2024
SIGNATURE	FULL NAME	DATE

AUDIT TRAIL

Title	Samantha Bloggs - Declaration & Interview Checklist
Document ID	9ca93f5d38d20c7dcd8f6d5d7886d377
Status	Completed

Document History:

	23/08/2024 12:01:44 UTC	Dave Bloggs (Admin at Preschool ABC) has invited Samantha Bloggs (candidate1@mynewterm.com) to an interview for the Headteacher vacancy at Preschool ABC. IP: 151.229.85.170
	23/08/2024 12:13:55 UTC	Samantha Bloggs has confirmed acceptance of the interview on Friday, 23rd Aug 2024 at 11:13am. IP: 151.229.85.170
	09/09/2024 16:49:51 UTC	Samantha Bloggs has viewed the Criminal Convictions Declaration. IP: 37.77.180.9
	13/09/2024 11:49:58 UTC	Samantha Bloggs has signed the Criminal Convictions Declaration. IP: 151.229.85.170
	09/09/2024 16:58:54 UTC	Samantha Bloggs has viewed the Invite to Interview Checklist. IP: 37.77.180.9
	13/09/2024 11:50:12 UTC	Samantha Bloggs has signed the Invite to Interview Checklist. IP: 151.229.85.170
	13/09/2024 11:52:06 UTC	This document has been completed by Samantha Bloggs IP: 151.229.85.170



## Recruitment and Selection Policy Statement

1. The Board of Trustees is committed to:
  - safeguarding and promoting the welfare and safety, and the spiritual, moral, social and cultural development, of children and young people;
  - promoting equality of opportunity and community cohesion where the diversity of different backgrounds and circumstances is appreciated and positively valued;
  - promoting the fundamental British values of democracy, the rule of law, individual liberty and mutual respect and tolerance for those with different faiths and beliefs

The Board of Trustees expects all staff, volunteers and other workers to share this commitment. It is recognised that this can only be achieved through sound procedures, good inter-agency co-operation and the recruitment and retention of competent, motivated employees who are suited to, and fulfilled in the roles they undertake.

2. The Board of Trustees recognises the value of, and seeks to achieve a diverse workforce which includes people from different backgrounds, with different skills and abilities. We are committed to ensuring that the recruitment and selection of all is conducted in a manner that is systematic, efficient, effective and promotes equality of opportunity. Selection will be on the basis of merit and ability, assessed against the qualifications, skills and competencies required to do the job. We will uphold obligations under law and national collective agreements to not discriminate against applicants for employment on the grounds of the protected characteristics of age, sex, sexual orientation, marriage or civil partnership, pregnancy, gender re-assignment, disability or health, race (which includes colour, nationality and ethnic origin), religion or belief.
3. We will implement robust recruitment procedures and checks for appointing staff and volunteers to ensure that reasonable steps are taken not to appoint a person who is unsuitable to work with children, or who is disqualified from working with children, or does not have the suitable skills and experience for the role.
4. We will ensure that the terms of any contract with a contractor or agency requires them to adopt and implement measures described in this procedure. We will monitor the compliance with these measures and require evidence that relevant checks have been undertaken for all workers deployed.
5. The following pre-employment checks will be required where applicable to the role and settling:
  - receipt of satisfactory references\*
  - receipt of satisfactory online searches
  - verification of identity
  - a satisfactory enhanced DBS disclosure to include a barred list check, please see point below, if undertaking Regulated Activity
  - verification that you are not on the DBS Children's barred list and therefore not barred from working with children
  - verification that you are not prohibited from teaching
  - verification of medical fitness
  - verification of qualifications
  - verification of professional status where required e.g., QTS status
  - the production of evidence of the right to work in the UK
  - verification of successful completion of/exemption from statutory induction period
  - verification that you are not subject to any s128 directions preventing you from holding a management position within a school (via [GOV.UK](https://www.gov.uk))
  - a declaration that you are not disqualified from working with children by virtue of the Childcare (Disqualification) Regulations 2009 or that you have provided a disqualification waiver from Ofsted

***NB It is illegal for anyone who is barred from working with children to apply for, or undertake Regulated Activity.***

\*In exceptional circumstances, where you have good reason not to want your referees to be contacted prior to interview, you should set out your reasons within your application form. Where it is agreed to defer, referees will be contacted immediately after interview before an offer of employment is made.

6. We will keep and maintain a Single Central Record of recruitment and vetting checks, in line with the current DfE requirements.
7. All posts/voluntary roles that give substantial unsupervised access to children and young people are exempt from the Rehabilitation of Offenders Act 1974 and therefore all applicants will be required to declare spent and unspent convictions, cautions and bind-overs (save for those offences that are subject to filtering by the Police).

Applicants will be required to sign a DBS consent form giving consent for the DBS certificate to be copied and shared (within strict guidelines) for the purposes of considering suitability for employment and consent for an online status check to be carried out in the event that the applicant subscribes to the DBS update service.

The Board of Trustees is committed to ensuring that people who have convictions/cautions/reprimands on their record are treated fairly and given every opportunity to establish their suitability for positions. Having a criminal record will not necessarily be a bar to obtaining a position, except in the case of school settings covered by the Childcare (Disqualification) Regulations 2009 where certain convictions, cautions or warnings will mean an individual is disqualified from working in that setting and will prohibit employment at the school (unless a waiver can be obtained from Ofsted).

Positive disclosures will be managed on a case-by-case basis taking into account the nature, seriousness and relevance to the role. The following factors will be considered in each case:

- The seriousness/level of the disclosed information e.g., was it a caution or a conviction
- How long ago did the incident(s) occur?
- Whether it was a one-off incident or part of a repeat history/pattern
- The circumstances of the offence(s) being committed and any changes in the applicant's personal circumstances since then
- The country where the offence/caution occurred
- Whether the individual shows or has shown genuine remorse
- If the offences were self-disclosed on the SD2 form or not (non-disclosure could, in itself, result in non-confirmation of employment on the grounds of trust, honesty and openness)

When making a recruitment decision the Board of Trustees will disregard any filtered convictions/cautions/reprimands which were disclosed in error.

A previously issued Disclosure and Barring Service Certificate will only be accepted where you subscribe to the DBS update service and the appropriate online status checks have been satisfactory.

The Disclosure and Barring Service has published a Code of Practice and accompanying explanatory guide. The Board of Trustees is committed to ensuring that it meets the requirements of the Disclosure and Barring Service in relation to the processing, handling and security of Disclosure information.

A copy of our Recruitment Procedure is available upon request.



HEARTS ACADEMY TRUST

SCHOOL

**Request to recruit a teacher**

Role: Early, Senior, Consultant etc	
FTE: Salary (or range)	
FTE (full time = 100%, 2 days = 40% etc)	
Start date	
Reason for request	

**Request to recruit support staff**

Role (Midday, Caretaker etc)	
Pay Band (see pay policy, annex G)	
Hours per week	
Work pattern (am) (e.g., 9:00 to 12:00)	
Work Pattern (pm) (e.g., 1:00 to 3:15)	
Weeks: 38 = term time excluding non-pupil days; 39 = term time only; 39+ = term time plus additional weeks)	
Paid weeks per year	
Start date	
Reason for request	

**Details of staff leaving & financial implications**

Member of staff leaving (if applicable)	
Current Band and Pay Point	
Last working day	
Notice period	
Date of resignation	
Requirement to repay any fees etc? If yes, give details	

Is this a "like-for-like" replacement? No	
Will this alter your approved staffing structure?	
If so, what are the financial costs?	
Please briefly state your rationale for altering the staffing structure <b>NOTE:</b> requests for 1-to-1 SEND support should include the child's costed provision plan, including timetabling to show how the child's needs will be supported by the whole school staff.	

Signed:

Date:

**For central team use:**

Relevant KPI data:
Comments:

**CFO:** APPROVE/DECLINE/FURTHER INFO REQUIRED

Signed:

Date:

**CEO** APPROVED/DECLINED/FURTHER INFO REQUIRED

Signed:

Date:

HEARTS ACADEMY TRUST: RECORD OF LIKE-FOR-LIKE RECRUITMENTS

NOTE: Like-for-like replacements **must not** lead to additional financial costs or depart from the school’s agreed staffing structure. A like-for-like replacement will therefore usually be appointed on or below the same pay point as the person leaving, and the new member of staff will start shortly after the outgoing member of staff has left.

SCHOOL	
ACADEMIC YEAR	2024/25

Teachers

Name of new starter	FTE (%)	Pay point	Start date	Replacing (name)	FTE (%)	Pay point	Last day

Support staff

	Name	Role	Hrs	Paid wks.	NJC pay point	Last day Start date
Leaver						
New starter						

## DBS policy guidelines: To be displayed prominently in the school office

*All elements are to be monitored during LAB/Trust/HOS/DSL/COO monitoring visits at least twice throughout the year. Visit notes are to be retained in the safeguarding/ office file and shared termly with LAB. BMs must ensure these visits take place. These visit notes will form part of termly safeguarding reports to Trustees. The responsible staff member for each aspect is in bold. All DBS are enhanced and must include a barred list check.*

### New employees

- All new employees to the Trust will complete child protection training, and induction and submit an enhanced DBS application, irrespective of their last employer or role, or submit their DBS update service information (**must** be valid for employment with the children's workforce and include a barred list check KCSIE paragraph 251). **DSL/ School BM**
- Enhanced DBS applications must be completed before employment commences. A thorough risk assessment is to be completed if employment starts prior to a completed DBS. RAs should be updated weekly (for no longer than 3 weeks). **HOS**
- All staff are encouraged to join the update service at their own cost. **Staff**
- A copy of the DBS certificate should not be retained, unless in exceptional circumstances and for no longer than six months (KCSIE paragraph 277). A copy of the other documents used to verify the successful candidate's identity, right to work and required qualifications must be retained in the personnel file. (KCSIE) **School BM**
- All staff must sign to say key policies (CP, safeguarding, code of conduct, H&S etc...) have been read and that no changes are reportable on their DBS. **School BM**
- Prohibition checks must be carried out for ALL class-based staff (including pre-schools) – **School BM**

### Current staff

- DBS numbers and dates of original certificates checked (KCSIE paragraph 243) should be recorded on the SCR. **School BM**
- Staff must inform their HOS immediately if they have been subject to a spent conviction, unspent conviction, caution, reprimand or final warning since their DBS was issued. This is a condition of employment. **Staff**
- Prohibition checks must be carried out for ALL class-based staff (including pre-schools)- **School BM**

### Staff transferring across HEARTS settings

- New enhanced DBS certificates are not needed unless staff move twice within a 7-year period. **School BM and COO.**
- Secondment opportunities/ interim roles are not classed as a move or new role.

### Volunteers and Trustees/ LAB members – update service is free

- All volunteers and visitors must sign in via "SignIn App" at each school. **All office staff**
- All non-Governor volunteers must give time weekly and therefore require a Trust-enhanced DBS (including barred list check) or submit their DBS update service info. **School BM**
- All volunteers submit an application in writing and undertake induction and safeguarding training during 1<sup>st</sup> week. **DSL**
- If volunteers have not been in school for a term, their DBS is no longer valid and should be deleted on the SCR. LAB members and Trustees are deleted when their term of office expires. **School BM/ HOS**
- Only regular volunteers who commit to weekly volunteering can accompany school visits. **HOS/ Senior Leaders**
- It is a condition of volunteering that a subscription is made to the update service within four weeks of the receipt of the certificate. **School BMs** to check that this is completed or do it with volunteers.
- Trustees and LAB members require an enhanced DBS and updated subscription. **Trust Governance Lead / School BM**



## Contractors and visitors (All visitors and contractors must have a prior appointment)

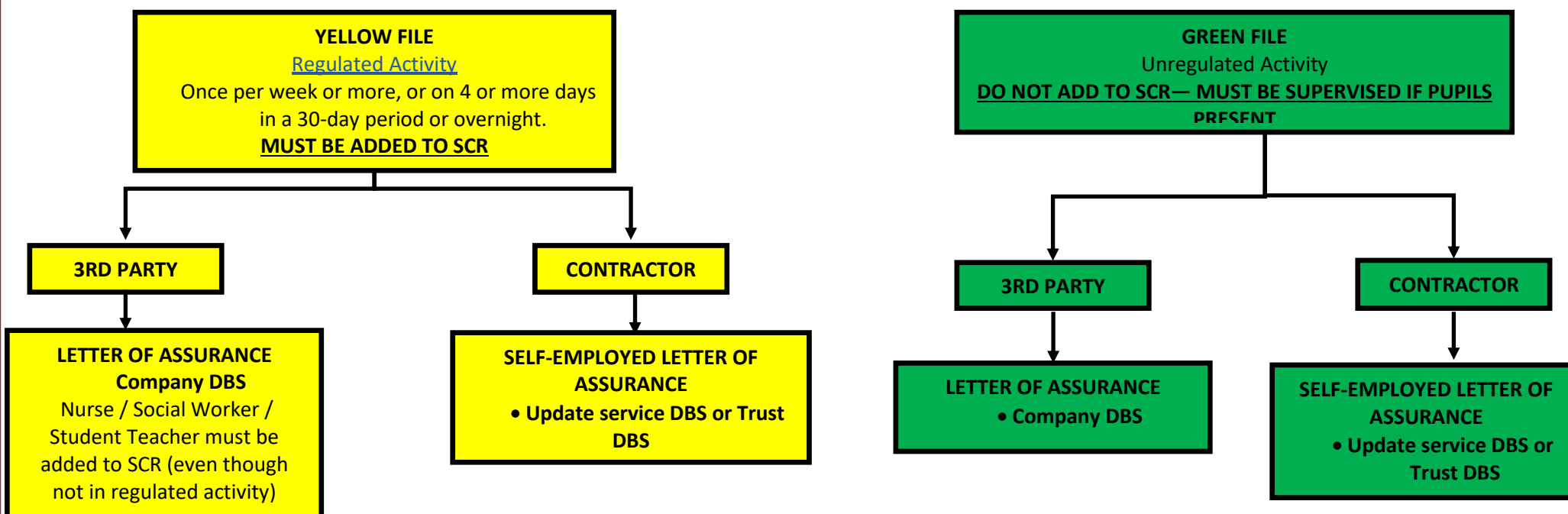
- All contracting companies or third parties working in schools will need to provide a letter of assurance confirming they follow safer recruitment processes, carry out enhanced DBS checks and believe all staff coming in to work on Trust premises are suitable to work in a school. DBS numbers should be recorded at the bottom of the letters of reassurance and filed in regulated (yellow) or unregulated (green) files. (Details of any contractors/third parties that are not in regulated activity should not be entered into the SCR and should always be supervised when on school premises when children are onsite. **School BM**)
- No contractor/third party or visitor will be permitted access to schools without submitting their DBS certificate or update service information. DBS information should be provided 24 hours before accessing the school site. Contractors in regulated activity have to provide evidence of a DBS/update service, and their details added to the SCR. Contractors not in regulated activity have to provide evidence of a DBS/update service but these details do not go on the SCR. All third parties need to provide evidence of DBS, only those in regulated activity need to have these details added to the SCR. **School BM/ office/ site staff**
- Where urgent, unplanned premises work is required, enhanced DBS info should be requested and if it is unavailable caretakers **must** accompany contractors/third parties throughout the visit. **School BM/ office staff/ site lead**
- The School Business Manager / Head of School will accompany contractors during tendering visits for **Trust** contracts. **School BM/Site Manager/HoS**
- All contractors/third parties and visitors to read the school safeguarding leaflet

## Update service

All staff are encouraged to join the update service, which they must do within four weeks of receiving their certificate. The update service is a national scheme which reduces the number of DBS applications made across all settings, including employment and voluntary sectors. There is no cost to volunteers. The cost for employees is £16 per annum and it can be cancelled at any time. [The Update Service](#) **Staff/ BM**

**Statutory guidance can be found in the** KCSIE document

## HEARTS Academy Trust DBS and SCR Requirements for Third-party and Contractors (regulated/unregulated)







Reference Enquiry - Samantha Bloggs

CANDIDATE REFERENCE: EDV/2024/PA/17290-005

MNT TRUST

**Data Protection Notice**

*Samantha Bloggs has provided consent to MNT Trust for this reference to be obtained. All the details are provided to MNT Trust without liability on the part of MyNewTerm and will be held in confidence by MNT Trust and in accordance with their Privacy Policy. This reference contains confidential and personal data which any recipient is required to maintain in confidence and protect in accordance with UK GDPR, the Data Protection Act 2018 and all other UK data protection legislation.*

**1. CANDIDATE DETAILS**

Full Name: Ms. Samantha Bloggs  
Previous Surname(s): Johns  
Preferred Name(s): Sam  
Job Vacancy: Teaching Assistant  
School: Preschool ABC

**2. REFEREE DETAILS**

Title: Miss.  
First Name: Example  
Last Name: Referee  
Job Title: Example Job Title  
Organisation Name: Example Employer 2, L69 3BX  
Contact Number: 012345678904

**3. REFERENCE INFORMATION**

How long have you known Samantha Bloggs and in what capacity?:  
Example text

Please tell us the positions held by Samantha Bloggs whilst employed:

Position: Example text  
Contracted Hours: Example text  
Start Date: January 2024  
End Date: Present

What was / is Samantha Bloggs salary or hourly rate in their most recent position employed?:  
Example text

Please provide a brief description of Samantha Bloggs' duties:

Example text

Please enter the reason for Samantha Bloggs leaving their current or most recent position employed?:

Example text

## 4. RATINGS

How would you rate Samantha Bloggs':

	OUTSTANDING	GOOD	SATISFACTORY	POOR	N/A
Conduct	<input checked="" type="checkbox"/>				
Timekeeping	<input checked="" type="checkbox"/>				
Attitude	<input checked="" type="checkbox"/>				
Work performance	<input checked="" type="checkbox"/>				
Reliability	<input checked="" type="checkbox"/>				
Honesty	<input checked="" type="checkbox"/>				
Relationship with colleagues	<input checked="" type="checkbox"/>				
Capability of achieving agreed targets and deadlines	<input checked="" type="checkbox"/>				
Initiative	<input checked="" type="checkbox"/>				
Administrative efficiency					<input checked="" type="checkbox"/>
Ability to cope with pressure	<input checked="" type="checkbox"/>				
Ability to follow management instruction	<input checked="" type="checkbox"/>				
Ability to follow the behaviour management policies	<input checked="" type="checkbox"/>				
Ability to manage others					<input checked="" type="checkbox"/>

Have you, or your colleagues, ever had cause for disquiet about Samantha Bloggs' behaviour or judgment?: Yes

If yes, please give details:

Example text

Would you offer / re-employ Samantha Bloggs if a suitable vacancy occurred?: No

If No, please state why:

Example text

Has Samantha Bloggs ever breached your Data Protection Policy or to your knowledge breached the policy of any previous employer?: Yes

If yes, please give details:

Example text

Having considered the enclosed details regarding the position, would you recommend Samantha Bloggs for this appointment?: Yes

If yes, please comment on how Samantha Bloggs has demonstrated that they meet the person specification :  
Example text

5. SAFEGUARDING CHILDREN

Has Samantha Bloggs been involved in any disciplinary procedures, substantiated concerns or allegations related to safety and welfare of children or young people? Please include any 'live' or spent warnings, disciplinary action and substantiated concerns/allegations (including a group of low-level concerns about the same individual) that meet the harm threshold. Please note that any repeated concerns or allegations which do not meet the harm threshold which have been found to be false, unfounded, unsubstantiated or malicious should not be included in this reference: Yes

If yes, please provide further details:  
Example text

Is/was Samantha Bloggs subject to any current or pending disciplinary procedures or sanctions?:Yes

If yes, please provide further details:  
Example text

6. TEACHERS ONLY

If Samantha Bloggs is a teacher, have they undergone Formal Capability Procedures within the past 2 years?: Yes

If yes, please provide written details of the concerns which gave rise to the capability proceedings, the duration of the proceedings and their outcome in accordance with the School Staffing (England) Regulations 2009 (or equivalent legislation for non-maintained schools) and/or guidance from the Department for Education:

The concerns which gave rise to the application of the Procedure:  
Example text

Duration of the capability process with the Teacher?:  
Example text

What was the outcome of managing the Teacher through the Capability Procedure?:  
Example text

Are you satisfied that Samantha Bloggs is suitable to work with children?:Yes

7. CONFIRMATION

In signing this document, you confirm that the information provided is accurate.

<i>Example Employer</i>	EXAMPLE EMPLOYER	7TH NOVEMBER, 2024
SIGNATURE	PRINT NAME	DATE



Name		Post applied for	HEARTS ACADEMY TRUST
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**CONFIDENTIALITY**

You have a right of access to information held on you and other rights under the Data Protection Act 2018 and all information you provide will be treated as confidential.

In accordance with statutory requirements certain pre-employment checks are conducted for positions that involve work with vulnerable groups, specifically children and vulnerable adults.

The information obtained from these checks is used to help safeguard these groups. It will not be used to discriminate unfairly against those who disclose something which we consider unrelated to working with vulnerable groups. Having a criminal record will not automatically bar you from employment.

**HEARTS Academy Trust is committed to safeguarding and promoting the welfare of children and we expect all staff to share this commitment. This post is exempt from the Rehabilitation of Offenders Act 1974; pre-employment checks will be carried out; references will be sought, online presence will be checked and successful candidates will be subject to an enhanced DBS, with a children's barred list check and other relevant checks with statutory bodies.**

We comply with the Disclosure & Barring Service (DBS) code of practice. As you have been shortlisted/conditionally offered a post with HEARTS, you are required to declare any relevant convictions, adult cautions or other matters which may affect your suitability to work with children. As a result of amendments to the Rehabilitation of Offenders Act 1974 (exceptions order 1975) in 2013 and 2020, some minor offences are now protected (filtered) and should not be disclosed to potential employers, and employers cannot take these offences into account.

Please read the information provided by [Nacro](#) and [Unlock](#) before answering the following questions. If you are unsure whether you need to disclose criminal information, you should seek legal advice or you may wish to contact Nacro or Unlock for impartial advice. **Please note that there may be a wait time when contacting the above organisations if you need to contact them we urge you to do this sooner rather than later.** There is more information on filtering and protected offences on the Ministry of Justice website.

Nacro	Tel: 0300 123 1999 Email: <a href="mailto:helpline@nacro.org.uk">helpline@nacro.org.uk</a>
Unlock	Email <a href="mailto:advice@unlock.org.uk">advice@unlock.org.uk</a> or complete the online form on the Unlock website.

	YES	NO
Do you have any convictions or adult cautions that are unspent?	<input type="checkbox"/>	<input type="checkbox"/>
<i>If yes, please provide details here</i>		
Do you have any other cautions or convictions that would not be filtered?	<input type="checkbox"/>	<input type="checkbox"/>
<i>If yes, please provide details here</i>		
Have you been prohibited from management of an independent school (s128)?	<input type="checkbox"/>	<input type="checkbox"/>
<i>If yes, please provide details here</i>		
Have you lived or worked outside the UK for more than 12 months in the last 10 years?	<input type="checkbox"/>	<input type="checkbox"/>
<i>If yes, please provide details here</i>		



Are you subject to any sanctions relating to work with children in any country outside the UK?	<input type="checkbox"/>	<input type="checkbox"/>
<i>If yes, please provide details here</i>		
<b>Teaching posts only</b> Are you, or have you ever been, prohibited from teaching by the TRA or sanctioned by the GTCE?.	<input type="checkbox"/>	<input type="checkbox"/>
<i>If yes, please provide details here</i>		
The Disqualification under the Childcare Act 2006 Regulations (2018) state that anyone employed to care for children in early years (children under the age of 5) or later years (wrap-around care for children under the age of 8) is disqualified from that work if they meet certain criteria. These criteria include (this is not an exhaustive list): <ul style="list-style-type: none"> <li>• Certain serious criminal offences</li> <li>• Court orders relating to the care of your own child</li> <li>• Being prohibited from private fostering</li> </ul> Do you have any reason to believe you are disqualified from working in childcare?	<input type="checkbox"/>	<input type="checkbox"/>
<b>DECLARATION</b>		
Please tick the boxes below and then sign this form.		
I agree that the information provided here may be processed in connection with recruitment purposes and I understand that an offer of employment may be withdrawn, or dismissal may result if information is not disclosed by me and subsequently comes to the organisation's attention.	<input type="checkbox"/>	
In accordance with the organisation's procedures, if required I agree to provide a valid DBS certificate* and consent to the organisation clarifying any information provided on the disclosure with the agencies providing it.	<input type="checkbox"/>	
I agree to inform the organisation within 24 hours if I am subsequently investigated by any agency or organisation in relation to concerns about my behaviour towards children or young people.	<input type="checkbox"/>	
I understand that the recruitment panel may be made aware of any relevant information that I have disclosed in order to discuss the matter(s) with me as part of the recruitment process and that, if my application is successful, a risk assessment of the disclosed information will be held securely on my personnel file	<input type="checkbox"/>	
I understand that the declaration of a criminal record will not necessarily prevent me from being offered this role.	<input type="checkbox"/>	
I understand that the information contained on this form, the results of the DBS check* and information supplied by third parties may be supplied by the organisation to other persons or organisations in circumstances where this is considered necessary to safeguard other children.	<input type="checkbox"/>	
Signed:		
Print Name:		
Current position:		

All information will be treated as confidential and managed in accordance with relevant data protection legislation and guidance. You have a right of access to information held on you under the Data Protection Act 2018.  
Please note that, if you are unsuccessful, this disclosure form will be securely destroyed within 6 months of your application.